



CONCEPT OF FORWARD ERROR CORRECTION IN OPTICAL TRANSMISSION SYSTEMS

MILIND C. KAPSE¹, Dr. S. S. SHRIRAMWAR²

¹Research Scholar, Deptt of Electronics Engg, PCE, Nagpur, Maharashtra, India

²Professor and Head of Deptt.of Electronics Engg, PCE, Nagpur, Maharashtra, India

kapse.milind@gmail.com;sshriramwar@yahoo.com



ABSTRACT

The Forward Error Correction (FEC) is a state-of-art technique which shall effectively increase the transmission bit rate, span length and capacity of the digital system of single channel/multi-channel system. The paper presents two FEC schemes recommended for optical transmission system. They are in- band FEC for SDH system and Out-off-band FEC for OTN. Different terminologies related to FEC have been discussed and the results for the SDH and OTN systems have been discussed.

Keywords-- FEC, SDH, OTN, NCG, CG

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I. INTRODUCTION

Forward Error Correction (FEC) is rapidly becoming an important way of improving the performance of large capacity long –haul optical transmission systems and is already well established in wireless communication systems. Employing FEC in optical transmission systems yields system designs that can accept relatively large BER (much more than 10^{-12}) in the optical transmission line (before decoding). FEC application may allow the optical parameters to be significantly relaxed and encourages the construction of large capacity long- haul optical transmission systems in a cost effective manner. Two different FEC schemes have been discussed and implemented in this paper.

Table 1 depicts various definitions of FEC terminology such as Code rate, Code word, Code Gain, Net Code Gain, Qb factor, BER_{in} , BER_{out} and BER_{ref} .

II. FEC Terminologies and performance

At present, two FEC schemes are recommended for optical transmission systems. They are “in- band FEC” for SDH systems and “out – of – band FEC” for optical transport networks (OTNs). (Out- of – band FEC was originally recommended for submarine optical systems). The terminology in or out refers to the client bandwidth. In-band FEC parity Bits are encoded in a previously unused band of the section Overhead of SDH signals, so the bit rate is not increased. In contrast to SDH, OTN signals including space for FEC bits (OTUk) have a higher bit rate than the equivalent signal before the FEC is added (ODUk). So, OTN signals are encoded using out-of – band FEC resulting in significantly increased line-rate.ITU-T Rec.G.709/Y.1331 also offers the option of non-standard out of band FEC optimized for higher efficiency.

Table 1: FEC Terminology

Information Bit(byte)	Original digital signal to be FEC encoded before transmission.
FEC parity Bit(byte)	Redundant Bit (byte) generated by FEC encoding
Code word	Information bit (byte) plus FEC parity Bit (Byte)
Code rate R	Ratio of bit rate without FEC to be bit rate with FEC(R = 1 for in-band FEC)
Coding Gain	Reduction of Q values at specified BER (e.g., 10 ⁻¹²) assuming white Gaussian Noise and a theoretical reference receiver
Net Code Gain (NCG)	Coding Gain corrected by the increased noise due to band width expansion needed for FEC bits assuming white Gaussian Noise(Out-of – band FEC)
Qb Factor	Q factor corrected by bandwidth expansion factor 1/FR
BER _{in}	BER of encoded line signal (= BER of the input signal of the FEC decoder)
BER _{out}	BER of the decoded client signal (= BER of the output signal of the FEC decoder)
BCH codes	Bose-Chaudhuri-Hocquenghem codes: the most commonly used BCH codes are binary codes.
RS codes	Reed- Soloman codes: The most commonly used, non-binary subclass of BCH codes.
Xxx(n,k) code	Xxx= code class(BCH or RS) , n= no. of code word bits(bytes), k= no. of information bits(bytes)

A. In band FEC in SDH system:

In band FEC is described in 9.2.4/G.707/Y.1322, Annex A/G.707/Y.1322, appendix IX/G.707/Y.1322, and Appendix X/G.707/Y.1322. The code is optional in STM-16, -64, and -256 single and multichannel systems. The code is triple error correcting binary BCH code, more exactly a shortened BCH (4359,4320) code. Up to three bit errors can be corrected in a 4359-bit

code word. The code word is an 8-bit interleaved signal stream of 270x 16 bytes from 1 row of the STM-N frame. Therefore, up to 24-bit continues errors in each row of an STM-16, -64 or -256 frames can be corrected. If error occur randomly, BER after decoding $P_c = BER_{out}$ is expressed, using raw $BER_p = BER_{in}$ (before decoding), as follows for N=4359.

$$P_c = \sum_{i=4}^N \frac{i}{N} \times \binom{N}{i} \times p^i \times (1-p)^{N-i} \quad (1)$$

B. Out- of –Band FEC in optical transport networks (OTNs)

Out- of- band FEC is described in 11.1/G.709/Y.1331 and Annex A/G.709/Y.1331 as a modification of the out – of – band code in ITU-T Rec. G.975. ITU- T Rec. G.709/Y.1331 specifies the network Node Interface (NNI) in OTN where the RS(255, 239) code is optionally included. ITU-T Rec. G.975 recommends the frame format for submarine systems and also describes the performance of the RS (255,239) code. This code is a symbol error correcting RS code, so the byte number is used in the description. Up to eight bytes in the code word can be corrected. The G.709/Y.1331 frame employs 16- byte interleaving, so 1024 bits continuous errors can be corrected. If errors occur randomly, BER after decoding $P_c = BER_{out}$ is expressed, using original raw $BER_p = BER_{in}$ (before decoding), as follows.

$$P_{UE} = \sum_{i=9}^N \frac{i}{N} \times \binom{N}{i} \times P_{SE}^i \times (1 - P_{SE})^{N-i}$$

$$p = 1 - (1 - P_{SE})^{1/8} \quad (2)$$

$$p_c = 1 - (1 - P_{UE})^{1/8}$$

P_{UE} is the probability of uncorrectable error, and P_{SE} is the probability of symbol (byte) error, N=225.

C. Coding Gain and Net Coding Gain (NCG)

In the case of a randomly distributed error within the encoded line signal, a FEC decoder reduces the line or raw BER to a required reference BER value within the payload signal. Coding gain could therefore be regarded as the relation of the bit error ratios, in order to define a coding gain as a more system related parameter, BER reduction by

FEC is usually transformed into a dB value based on a theoretical reference system. It is common practice to define coding gain as a reduction of signal to noise ratio at a reference BER. This definition is directly applicable to an in-band FEC because its use does not imply an increase of the bit rate and therefore also no noise increase at the decision circuit due to receiver bandwidth expansion. The performance of an out-of-band FEC can be characterized better by a modified coding gain parameter. In wireless transmission systems the Net Coding Gain (NCG) parameter is well established for out-of-band FEC. It takes into account the fact that the bandwidth extension needed for these FEC scheme is associated with increased noise in the receiver.

Based on the NCG value, the achievable system gain in optical signal-to-noise ratio (OSNR) limited systems can be estimated accurately. In this case, the reduction of the electrical signal to noise ratio as consequence of higher line BER reflects the allowable reduction in OSNR. In systems involving additional non-white noise contributions, the trade-off between sensitivity reduction due to bandwidth expansion and coding gain is much more complicated. For comparison of high efficiency FEC schemes with different (but similar) code rates used in long-haul systems, the NCG parameter is a good measure. It should be noted, however, that this comparison is only valid in systems limited by white noise sources. In the case that, there is a significant penalty due to (nearly deterministic) signal degradation, the penalty may increase rapidly with increasing bit rate and invalidate the comparison. Even in systems operating in a very non-linear regime of the transmission fiber, the application of NCG is of limited value due to the fact that the associated noise cannot be characterized by white Gaussian noise.

Note 1- In special cases of using FEC coding for reducing the minimum allowable OSNR (e.g., for higher channel count), the reduction in OSNR can be higher than the net coding gain. This arises because in the case where the noise at the decision circuit has a significant contribution from a source other than OSNR, then for a given increase in total

noise, the increase in the noise contribution due to OSNR alone is larger than the total increase

D. Net Coding Gain Definition

NCG is characterized by both the code rate R and the maximum allowable BER_{in} of the input signal of the FEC decoder, which can be reduced to a reference BER_{out}= BER_{ref} by applying the FEC algorithm. Furthermore, NCG should refer to a binary symmetric channel with added white Gaussian noise:

$$NCG = 20\log_{10} [erfc^{-1}(2B_{ref})] - 20\log_{10} [erfc^{-1}(2B_m)] + 10\log_{10} R \quad (dB) \quad (3)$$

With $erfc^{-1}$, the inverse of the complementary error function $erfc(x) = 1 - erf(x)$.

Note 2- R=1 for in-band FEC.

III. Algorithm and Implementation:

The algorithm for the encoder of FEC scheme is as follows and the flowchart is shown in Fig. 1 below.

- Error word polynomial

$$e(x) = e_0 + e_1x + \dots + e_{n-1}x^{n-1}$$

- The received word polynomial is given by $w(x) = c(x) + e(X)$

- Syndromes

$$S_j = w(\alpha^j) = \sum_{i=0}^{n-1} e_i \alpha^{ij}; \dots \text{for } j = 0, \dots, 2t - 1$$

- Syndromes can be computed using the Horner algorithm:

- Suppose that there are r errors, $r \leq t$, occurred

- At locations i_1, \dots, i_r (let $X_l = \alpha^{i_l}$)

- With values

$$e_{i_1}, \dots, e_{i_r} \quad (\text{let } Y_l = e_{i_l} \alpha^{i_l} = e_{i_l} X_l)$$

- Reformulate $S_j = \sum_{i=1}^r e_i \alpha^{ij}$

- Solve $s(x)=0$
- Find the error locations
- Compute error magnitude at error locations and correct the errors
- Clearly finding $[M]$ and M^{-1} is not easy for large t

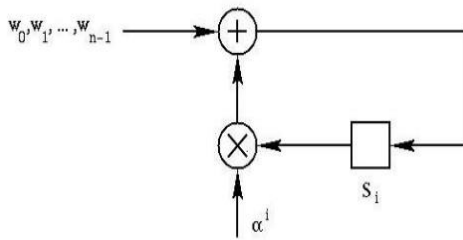


Fig. 1 Flowchart for the encoder of FEC scheme

IV. Results and Discussion

The graphical results for the gain v/s BER for SDH and OTN have been shown in Fig. 2 and Fig. 3 respectively.

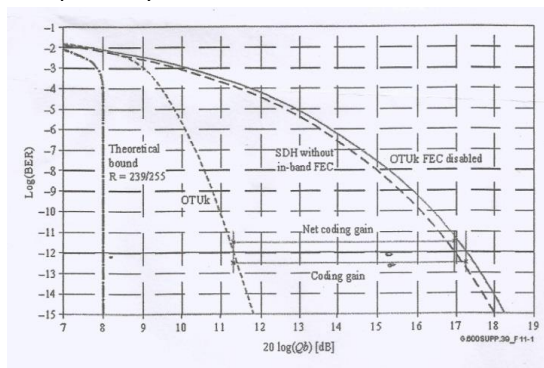


Fig. 2 Performance estimation of G.709/Y.1331 FEC scheme

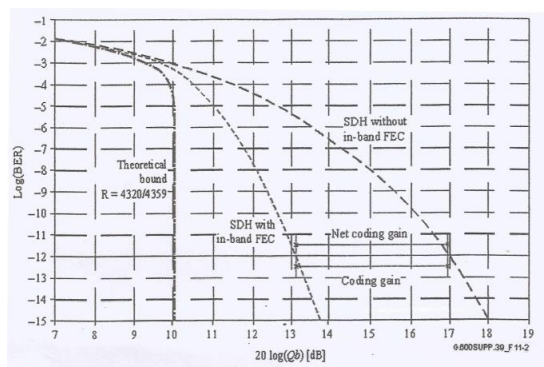


Fig.3 Performance estimation of G.707/Y.1322 FEC scheme

Note that:

$$20\log_{10} Qb = 20\log_{10} Q - 10\log_{10} R \quad (4)$$

In Fig. 2 and Fig. 3, the horizontal axis is $20\log_{10} Qb$ in dB and the vertical axis is $\log(BER)$. Net coding gain in terms of $20\log_{10} Qb$ is equivalent to allowable OSNR reduction when the line system uses optical amplifiers and ASE induced noise is the only significant noise at the decision circuit.

Table 2 indicates the results for in-band FEC and out-off-band FEC for different applications. It is

observed that for $BER_{out}=BER_{ref}=10^{-12}$, the BER_{in} for SDH is found to be 2.9×10^{-6} whereas for OTN the same equals 1.8×10^{-12} . Also the coding gain is 3.8 and 5.9 for SDH and OTN respectively. It is found that the NCG is 3.8dB for SDH and the 5.6dB for OTN. Above results have been found for the code rate of 1 for SDH and 239/255 for OTN.

Table 2 : Performance of standard FECs

	In- band FEC BCH(4359,43 20)	Out-of-band FEC RS(255,239)
Application	SDH	OTN
BER_{in} for $BER_{out} = BER_{ref}=10^{-12}$	2.9×10^{-6}	1.8×10^{-4}
Coding gain($BER_{ref}=10^{-12}$) in dB	3.8	5.9
Net coding gain ($BER_{ref}=10^{-12}$) in dB	3.8	5.6
Code rate	1	239/255

V. CONCLUSION

Two different FEC schemes are discussed in the paper. It can be concluded from the paper that, using FEC scheme BER has significantly reduced to a great extent and coding gain and net coding gain are significantly improved in both SDH and OTN applications and effectively increased the transmission bit rate, span length and capacity of the digital system of single channel/multi-channel system.

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